N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of :

D. Tang et al.

Serial No.: 09/681,788

Filed: June 5, 2001

For: PORTABLE FAX MACHINE

Assistant Commissioner for Patents Washington, D.C. 20231

Date: Augu 13, 2001

Group Art Unit: 2622

Examiner:

Docket No . JP919980804US1

TRANSMITTAL LETTER

Sir:

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Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' representative that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,

Berek S. Jennings Reg. No. 41,473

(914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TO ADEMINITE application of: D. Tang et al.

Docket No.: JP919980804US1

Serial No.: 09/681,788

Group Art Unit: 2622

Filed: April 5, 2001

Examiner:

For: PORTABLE FAX MACHINE

Assistant Commissioner for Patents

Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Being filed herewith are copies of the following:

A) Notice to File Missing Parts of Non-Provisional Application

In response to the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted, dated June 19, 2001, Applicants enclose herewith a properly executed original Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63. The \$130.00 fee to cover the surcharge under 37 C.F.R. §1.16(e) is hereby authorized to be charged to Deposit Account No. 09-0468. A copy of the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted in further enclosed.

- B) **Deposit Account Authorization Form**
- C) Declaration and Power of Attorney
- D) Recordation Form Cover Sheet
- E) Assignment to IBM
- F) Information Disclosure Statement Transmittal Letter
- G) PTO-1449 Form With 9 References Attached
- H) Acknowledgment Postcard

The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. <u>09-0468</u>.

Respectfully submitted,

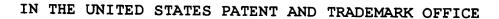
Derek S. Jennings - Date August 13, 2001

Reg. Patent Agent/Engineer

Reg. No. 41,473

Tel. No. (914) 945-2144

IBM Corporation Intellectual Property Law Dept. P.O. Box 218 Yorktown Heights, New York 10598 AUG 1 5 2001



Docket No.: JP919980804US1

Serial No.: 09/681,788 Group No.: 2622

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For: PORTABLE FAX MACHINE

Assistant Commissioner for Patents

Washington, D.C. 20231

Name de pilicant: D. Tang et al.

CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Non-Provisional Application Transmittal Letter
Deposit Account Authorization Form
Declaration and Power of Attorney
Recordation Form Cover Sheet
Assignment to IBM
Information Disclosure Transmittal Letter
PTO-1449 Form with 9 references attached
Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231.

on <u>August</u> 13, 2001

Maureen Gander

(Type or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: D. Tang et al.

Serial No.: 09/681,788

Filed: June 5, 2001

For: PORTABLE FAX MACHINE

Date: August 13, 2001

Group Art Unit: 2622

Examiner:

Assistant Commissioner for Patents Washington, DC 20231

DEPOSIT ACCOUNT AUTHORIZATION FORM

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated June 19, 2001, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Derek S. Jennings

Reg. Patent Agent/Engineer

Reg. No.: 41,473

Tel. No.: (914) 945-2144

IBM Corporation Intellectual Property Law Dept. P.O. Box 218 Yorktown Heights, New York 10598

Docket No.: JP919980804US1





(RASE) STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/681,788

06/05/2001

Donald Tang

JP919980804

DSI

00877 IBM CORPORATION, T.J. WATSON RESEARCH CENTER P.O. BOX 218 YORKTOWN HEIGHTS, NY 10598

CONFIRMATION NO. 1730

FORMALITIES LETTER

OC000000006197539

Date Mailed: 06/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

130.00 08/17/2001 HNDDR1